# (19) World Intellectual Property Organization

International Bureau





(43) International Publication Date 6 May 2004 (06.05.2004)

PCT

# (10) International Publication Number WO 2004/038525 A1

(51) International Patent Classification<sup>7</sup>: 23/00, D21F 11/00

G05B 21/00,

(21) International Application Number:

PCT/FI2003/000792

(22) International Filing Date: 23 October 2003 (23.10.2003)

(25) Filing Language:

Finnish

(26) Publication Language:

English

(30) Priority Data: 20021901

24 October 2002 (24.10.2002) FI

(71) Applicant (for all designated States except I/S): IAQUM OY [FI/FI]; Ohjelmakaari 1, FIN-40500 Jyväskylä (FI).

(72) Inventor; and

(75) Inventor/Applicant (for US only): LAITINEN-VEL-LONEN, Sakari [FI/FI]; Kuokkasenmutka 10, FIN-40520 Jyväskylä (FI).

(74) Agent: KESPAT OY; P.O. Box 601, FIN-40101 Jyväskylä (FI).

(81) Designated States (national): AE, AG, AL, AM, AT (utility model), AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ (utility model), CZ, DE (utility model), DE, DK (utility model), DK, DM, DZ, EC, EE (utility model), EE, EG, ES, FI (utility model), FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NI, NO, NZ, OM, PG, PH, PL, PT (utility model), PT, RO, RU, SC, SD, SE, SG, SK (utility model), SK, SL, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM, ZW.

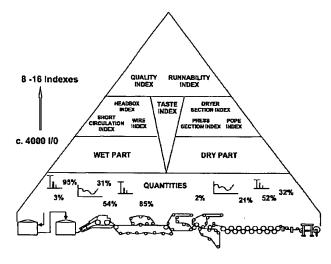
(84) Designated States (regional): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, IIU, IE, IT, LU, MC, NL, PT, RO, SE, SI, SK, TR), OAPI patent (BF, BJ, CF, CG, Cl, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

#### Published:

with international search report

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(54) Title: METHOD FOR MONITORING AND ANALYZING A PAPER PRODUCTION PROCESS



(57) Abstract: The invention relates to a method for monitoring and analysing a production process. In the method, a large number of variables are measured from the process, with the aid of these variables, fingerprints according to a good process situation, relative to runnability, are defined in several sub-processes and are then stored in a memory, the stored fingerprints are compared with fingerprints obtained in a normal process situation, on the basis of the comparison, an index of the difference, displayed graphically to user, between the recorded good situation and the momentary process situation is defined, and a runnability index, depicting the runnability of the entire paper machine and a quality index depicting the quality of the paper produced, are formed from these indices.

WO 2004/038525 PCT/FI2003/000792

# METHOD FOR MONITORING AND ANALYSING A PAPER PRODUCTION PROCESS

### Classification of the Invention

- 5 The present invention relates to a method for monitoring and analysing a paper production process, which paper production process includes, as sub-processes:
  - a wet end, including
  - stock preparation
- 10 a head box
  - a wire section, and
  - a dry end, including
  - a press section, and
  - a dryer section,
- 15 and in which method

a large number of variables are measured from the process, also including electro-chemical measurements in the wet end, and

with the aid of these variables, a fingerprint 20 according to a good process situation, relative to runnability, is defined and then stored in a memory,

the stored fingerprints are compared with fingerprints obtained in a normal process situation,

on the basis of the comparison, an index of the 25 difference, displayed graphically to the user, between the recorded good situation and the momentary process situation is defined.

# Background of the Invention

30

Learning neural networks can be used to effectively classify large amounts of data and to reveal connections and groupings in measurements and large masses of data, which are very difficult to find using statistical analysis, mathematical models, or logical rules. International patent publication WO 01/75222 discloses a method, exploiting a neural network, for

monitoring a paper production process and gives references to the general literature on neural networks. According to experience, the method disclosed by the publication can be used to reveal a process moving away from the optimal zone, well 5 before problems appear in the form of, for example, a web break. The electrochemical measurements are preferably carried out using equipment according to publication WO 01/25774.

However, the use of the known method will not determine the cause of a problem very quickly, even if, when an index deviation occurs, the input variables of the neural network are examined. Often, the cause is not a matter of deviation in a single input variable, but rather of a detrimental combination of several variables. In addition, the known method regards a paper machine as being a totality, even though the production process is divided into clearly discernable sub-processes.

### Summary of the Invention

The present invention is intended to create a new type of method in a production process, by means of which the process can be monitored more easily and accurately than previously. The characteristic features of the invention are stated in the accompanying Claims. The point of departure of the invention is to seek the causes of problems as quickly as possible. The paper machine is divided into sub-processes, with a method according to the document being applied to each of them.

According to the invention, a runnability index, which is obtained from the indices of the sub-processes, is also defined for the entire machine. At the same time, a quality index is also defined for the paper being produced, which uses the actual quality measurements accompanied by electrochemical measurements from the wet end. This is intended to prevent a hidden electrochemical problem from remaining in the paper when, for example, it is wetted by printing ink.

3

An essential factor in the invention is that most problems clearly relate to a specific sub-process. Such problems include:

- incorrect mass mixing in the short circulation
- 5 poor condition of felts in the press section
  - detrimental electrochemical state in the wet end
  - incorrect water equilibrium in the felts.

These problems are clearly revealed in the indices monitoring the sub-processes. To a considerable extent, the phenomena are 10 machine-specific.

In one paper machine, it was noticed that the press-section felts could become clogged to a considerable extent, without this immediately interfering with production. There is often time to correct such a problem, as factors disturbing running accumulate only over several hours.

Preferably, the output vectors of each neural networks are processed to create a scalar or other single-valued variable 20 for each index. As such, the said indices can be calculated using methods other than a neural network, but the advantage of a neural network becomes particularly apparent in the learning stage.

In certain cases, poor fingerprints can be detected not only by a neural network, but also using simpler logical circuits, because they often have quite precisely defined criteria and are affected by only a few variable factors. Process phenomena are often non-linear.

30

A multi-level percepton neural network (MLP), which functions particularly well in online conditions, is preferably used in the method. In the learning stage, it is quite possible to use a Back Propagation neural network, for example.

WO 2004/038525 PCT/F12003/000792

Δ

Other advantages and embodiments of the invention will be described later in connection with the examples of applications.

# 5 Brief Description of the Drawings

In the following, the invention is examined in greater detail with reference to the accompanying drawings, in which

Figure 1 shows the general arrangement of the method according to the invention, in connection with a paper machine

Figure 2 shows the steps in the structure of the measurement data of a paper machine

Figure 3 shows the information hierarchy of a paper machine Figure 4 shows equipment according to the invention, in a paper-machine environment.

### Detailed Description of the Invention

In Figure 1, a paper machine is shown schematically, and includes a short circulation 1, a head box 2, a wire section 3, a press section 4, a dryer section 5, and reeler section 6. Naturally, the units at the beginning of a paper machine have a greater effect on its runnability than the units at the end. The runnability index of each component can be formed in the 25 manner disclosed in publication WO 01/75222. In addition, it also uses the indices of two poor fingerprints, which does not relate to the present invention.

In one paper machine, the negative effect of a particular mass mix has been detected. This can be recognized quite easily, even directly from the existing measurements results. This can be linked to an alarm, or the index can be intended to be retrieved, for example, only if the short-circulation index deviates from a good value.

10

In one paper machine, it has been noticed that blockage of the felt causes at least some of the web breaks. However, it is quite easy to measure the condition of the felt and form an index of it, and even a direct alarm, if the condition index 5 drops below a set limit.

In addition, in the starting stage it is best to use a special start-mix, which will ensure a smooth start-up. After start-up, the mass mix is changed to be in accordance with the product recipe.

Similar poor fingerprints can also be recorded from the electrochemical measurements at the wet end, which depict a particular 'taste index'. It has also be surprisingly observed that it is worth taking into account the wet-end electrochemical measurements, when evaluating the quality of the paper produced, even though, in this case, the learning must be carried out in a quite labourious manner. Naturally, it is nearly impossible to measure any electrochemical properties in dry paper, nor does electrochemistry greatly affect the properties of dry paper. However, the situation is different in a printing machine, in which the absorption and spread of ink, for example, depend on the electrochemical properties of wet paper. The paper's dusting, its travel through a printing machine, and the adhesion of printing ink/filler also partly depend on the said electrochemical properties.

In paper production, electrochemistry affects, in general:

- the surface and colloidal chemistry of the paper
- 30 the structure of the paper
  - sheet formation
  - the action of chemical additives
  - the dirtying of the paper machine
  - the wear of felts/fabrics
- 35 the operation of the doctor blades.

WO 2004/038525 PCT/FI2003/000792

6

As can be seen from the above, the properties of the finished paper depend to some extent on the electrochemical properties of the mass used in its manufacture.

5 Negative fingerprints are generally based on a rather small group of variables (3 - 6). A good fingerprint, on the contrary, is based on many variables (10 - 20), but the group can often be reduced after the research stage. In other words, when fine-tuning the monitoring and analysis equipment, it is possible to see which variables are less important.

Individual indices can be made for process variables that must be kept constant (in a paper machine consistencies, pressures, temperatures, 10 - 20 items), making it possible to see immediately if even one breaks away from its set value.

In practice, the multi-level percepton (MLP) has proven itself to be the most preferable type of neural network, because it functions excellently in online operation and in a process 20 environment, in which the phenomena are non-linear. In the learning stage, a Back Propagation neural network can preferably be used.

Generally, runnability and quality are kept on target by monitoring the fingerprints of good situations in each subprocess. If a deviation then appears, the cause of the fault or deviation in general will be found considerably faster, if runnability indices relating to the operational sub-process of the paper machine are available. One improved embodiment additionally uses special detection of specific poor fingerprints. Monitoring is facilitated by a common runnability index for the entire paper machine, any change in which will indicate a need to search for the sub-process causing the problem, and ultimately for its input variables.

7

Figure 2 shows a diagram of the principle of how data from thousands of process measurements are reduced initially to 8 - 16 indices and finally to a single runnability index and a single quality index. The sub-processes short circulation, head 5 box, and wire section form the wet end, in which there are also electrochemical measurements. The press section, dryer section, and reeler (pope) form the dry end of the paper machine. An individual index is formed for each sub-process and a common runnability index for the entire paper machine is formed from them.

Figure 3 shows a more detailed hierarchy, related to the invention, of the paper machine's measurement information. 100 - 200 process data are formed from existing measurements of the paper machine (several thousands of I/O inputs) and from the particular electrochemical measurements. For the electrochemical measurements, there is one (head box) or more measurement units 10. In one embodiment, there is one unit for each rawmaterial branch (TMP, mechanical pulp, cellulose, de-inked mass, broke, and circulation water).

The desired sub-process indices, which are marked in Figure 3: Pulp, Raw material, Additive, Electrochemistry (taste), Head box, Wire section, Press section, Felts, Dryer section, and 25 Pope, are formed from the said process data.

An individual data window is formed from these for each operator and specialist. These are the pulp man, the machine man, the automation specialist, the felt supplier, and the 30 chemicals supplier.

Also marked in Figure 3 are a runnability index, which depicts the operation of the entire paper machine, and a finished paper quality index, which is calculated from the basic indices and from possible ancillary quality measurements. In practice, any deviation in the quality index derived from electrochemistry

WO 2004/038525 PCT/FI2003/000792

8

will cause at least a warning that the printability of the paper and/or the permanence of the filler may be diminished.

Preferably, the indices are calculated from two or more consecutive sub-processes, allowing the cause-effect relationships to be determined by examining the input variables of the neural network of the sub-processes. This is exploited in the research stage of the start-up of the system, for instance, by forming negative fingerprint-indices of unfavourable combinations. In the research stage, the set of neural-network input variables can also be reduced considerably.

Figure 4 shows one apparatus according to the invention in a paper machine environment. The system is connected to the existing mill data communications network 20, the data system 21, and to the mill workstations 24. The mill system includes, through a sub-network 20.1, the control systems for the wet end (2, 3, 4) and the dry end (4, 5). The system according to the invention collects not only the mill's process information (from the unit 21), but also data from its own electrochemical units 10. For these, a data-link server 22 and an actual neural-network processing unit 23 are connected to the mill network 20. These are quite conventional industrial PC units. The data-link server 22 collects electrochemical data, used in the neural-network processing, from the units 10 and from the mill's process-data unit 21. Thus, the processing unit receives all of its data from the link server 22.

A particular feature of the system are the remote-control units 25, by means of which the neural networks can be controlled and taught remotely. In addition, the measurement units can also be remotely controlled. The remote control is connected through a public data network (Internet), with the aid of a VPN (Virtual Private Network) formed using two-sided firewalls. With the aid of remote control, an expert can quickly resolve process problems and also effectively make changes to the system.

9

Remote control of the measurement units permits the measurement units to be monitored along with the rest of the system. This is particularly advantageous, especially in the start-up stage. Remote control can be used to perform the operations disclosed in the publication WO 01/25774 for calibrating each sensor and setting it correctly. Remote control can be used to set the base level of each electrode, once the polarization curve has been run.

#### CLAIMS

- 1. A method for monitoring and analysing a paper production process, in which the paper production process includes, as 5 sub-processes:
  - a wet end, including
  - stock preparation
  - a head box
  - a wire section, and
- 10 a dry end, including
  - a press section, and
  - a dryer section,

and in which method

a large number of variables are measured from the 15 process, also including electro-chemical measurements in the wet end, and

with the aid of these variables, a fingerprint according to a good process situation, relative to runnability, is defined and then stored in a memory,

the stored fingerprints are compared with fingerprints obtained in a normal process situation,

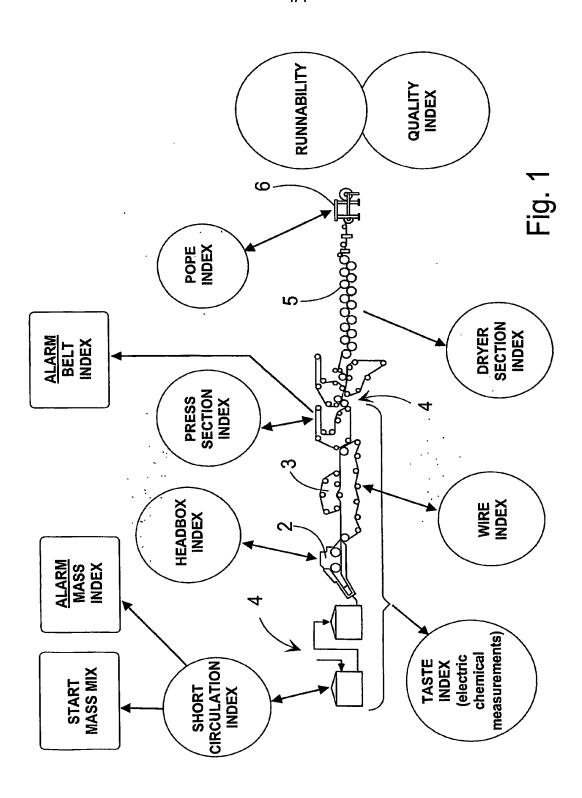
on the basis of the comparison, an index of the difference, displayed graphically to the user, between the recorded good situation and the momentary process situation is defined,

characterized in that the definition according to a good process situation is made separately in several subprocesses, thus creating a deviation index for each subprocess, to be displayed to the user.

30

2. A method according to Claim 1, <u>characterized</u> in that a runnability index, depicting the runnability of the entire paper machine, is further formed from the indices of the subprocesses.

- 3. A method according to Claim 1 or 2, <u>characterized</u> in that a quality index, depicting the quality of the paper being produced, is also formed for the user.
- 5 4. A method according to any of Claims 1 3, <u>characterized</u> in that at least the following deviation indices are formed for the user:
  - a deviation index depicting the properties of the mass used in the process,
- 10 an index depicting the operation of the head box, and
  - an index depicting the operation of the wire section, and
  - an index depicting the operation of the press section.
- 5. A method according to any of Claims 1 4, <u>characterized</u> in 15 that deviation indices of at least two consecutive sub-processes are formed for the user.
- 6. A method, according to Claim 3, in a paper machine, <u>characterized</u> in that wet-end electrochemical measurements, for depicting printability and/or the permanence of ink/filler, are taken into account in the quality index.
- 7. A method, according to any of Claims 1 6, using a neural network, <u>characterized</u> in that the system is used under remote control.



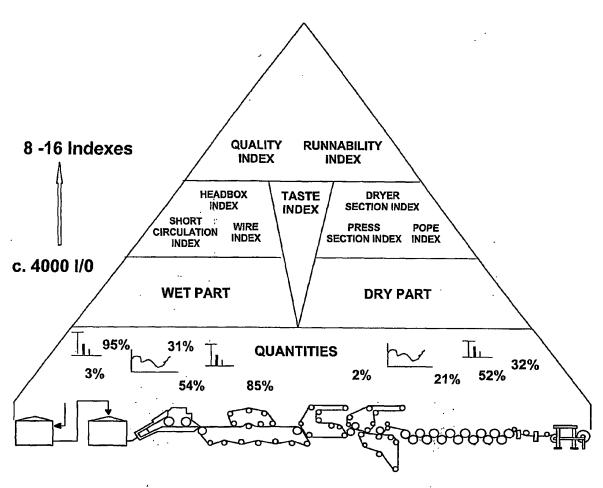
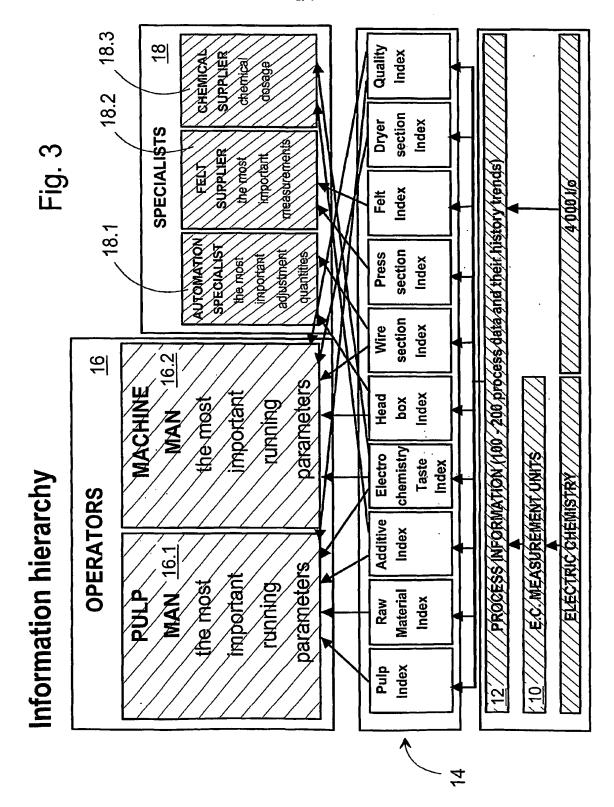
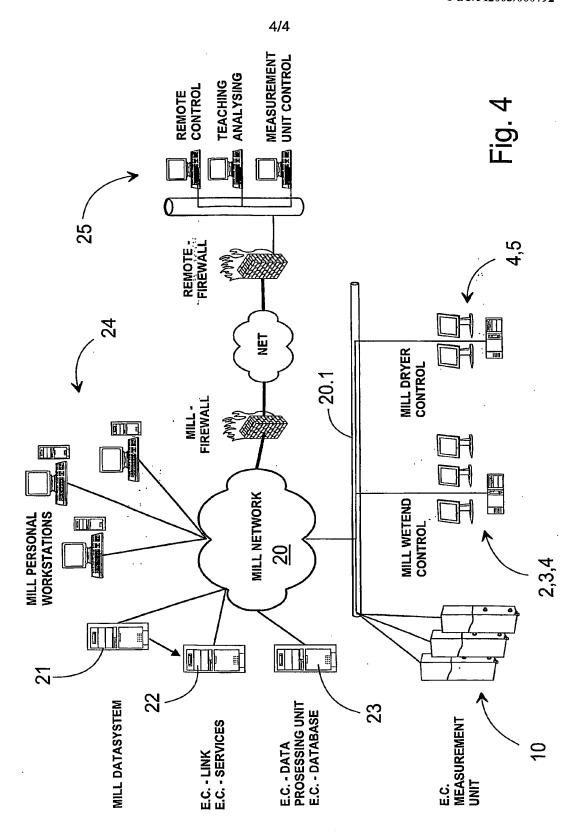


Fig. 2





#### INTERNATIONAL SEARCH REPORT

International application No. .

PCT/FI 2003/000792

#### A. CLASSIFICATION OF SUBJECT MATTER

IPC7: G05B 21/00, G05B 23/00, D21F 11/00
According to International Patent Classification (IPC) or to both national classification and IPC

### B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

# IPC7: G05B, D21F, D21G

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

### SE,DK,FI,NO classes as above

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

## EPO-INTERNAL, WPI

### C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	WO 0175222 A2 (LAITINEN-VELLONEN, SAKARI), 11 October 2001 (11.10.2001), page 1, line 6 - line 17; page 1, line 6 - line 30; page 1, line 6 - line 36, p.4 li.1-12,p.5 li.21-3,p.6 li. 18-32.fig 1-2,abstract	1,2
	<del></del>	
Y	US 2002052712 A1 (A.VOSER ET AL), 2 May 2002 (02.05.2002), pag.2,[0012-0013,0018-0020] pag.4, [0040-0044]	1,2
Y	US 5825653 A (J.HUOVILA ET AL), 20 October 1998 (20.10.1998), column 2, line 39 - column 3, line 43	1,2
	·	

X	Further documents are listed in the continuation of Box	С.	X See patent family annex.		
* "A" "E" "L" "O" "P"	Special categories of cited documents:  document defining the general state of the art which is not considered to be of particular relevance earlier application or patent but published on or after the international filing date document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) document referring to an oral disclosure, use, exhibition or other means document published prior to the international filing date but later than the priority date claimed	"Y"	later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention document of particular relevance: the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone document of particular relevance: the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art document member of the same patent family		
Date of the actual completion of the international search 15 January 2003		Date of mailing of the international search report  2 3 -01- 2004			
Name and mailing address of the ISA/ Swedish Patent Office Box 5055, S-102 42 STOCKHOLM Facsimile No. + 46 8 666 02 86		Authorized officer  Ender Dag /itw Telephone No. +46 8 782 25 00			

Form PCT/ISA/210 (second sheet) (January 2004)

# INTERNATIONAL SEARCH REPORT

International application No.
PCT/FI 2003/000792

		PC1/F1 2003	/000/92
C (Continu	ation). DOCUMENTS CONSIDERED TO BE RELEVANT		
Category*	Citation of document, with indication, where appropriate, of the relevant	vant passages	Relevant to claim No
Y	US 3490689 A (R.H.HART ET AL), 22 May 1963 (22.05.1963), column 19, line 26 - column line 8	21,	1,2
A	WO 03074784 A1 (UNIVERSITY OF MANCHESTER INST OF SCIENCE & TECHNOLOGY), 12 Sept 2003 (12.09.2003), whole document	ITUTE	1-7
	 ·		
	·		
	·		
l	•		

# INTERNATIONAL SEARCH REPORT

Information on patent family members

24/12/2003

International application No.
PCT/FI 2003/000792

WO	0175222	A2	11/10/2001	AU CA EP	7:1:11	A 11.	/10/200 /10/200 /03/200
				FI US	20000796	A 06.	/10/200 /10/200 /07/200
US	2002052712	A1	02/05/2002	EP US	1189126 6587737		/03/200 /07/200
US	5825653	A	20/10/1998	AT BR			/02/200
				CA	9702174 2224878		/03/199 /10/199
				CN	1114013		/07/200
				CN		A 30.	/09/19
				DE	69718873		/08/200
				EΡ			/04/19
				JP			/01/200
				WO	9739182		/05/19! /10/19!
US	3490689	A	22/05/1963	NONE			
WO	03074784	A1	12/09/2003	GB	0205336	D 00	/00/00(

Form PCT/ISA/210 (patent family annex) (January 2004)